I hereby certify that this correspondence being deposited with the United States Postal Service as First Class Mail in an envelope addressed to:

Assistant Commissioner for Patents

Washington, D.C. 20231

E Lo euros

PE Chate: 10 April 2002

PATENT

Attorney Docket No.: DB000877-000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Sarthi et al.

Examiner:

Not yet assigned

APR 1

XDAC-(5)

Serial No.:

09/648,861

Art Unit:

2161

APR 1 8 2002

Filed:

August 25, 2000

t Unit: 21

OFFICE OF PETITIONS

Entitled:

PRODUCTION AND DISTRIBUTION SUPPLY CHAIN OPTIMIZATION

SOFTWARE

TRANSMITTAL

To:

Assistant Commissioner for Patents

Washington, D.C. 20231

Dear Sir:

Enclosed for filing in the above-identified application, please find the following:

- 1. a Notification Of Loss Of Small Entity Status Under 37 C.F.R. 1.28(c); and
- 2. a check in the amount of \$65 to cover the deficiency outlined in the Notification.

Also enclosed is a return postcard. Please date stamp and mail the postcard to acknowledge receipt of this correspondence.

The Commissioner is hereby authorized to charge any underpayment or credit any overpayment to our Deposit Account No. 20-0888. A duplicate copy of this Transmittal letter is enclosed.

Dated: 10 April 2002

Respectfully submitted,

Edward L. Pencoske Reg. No. 29,688

THORP REED & ARMSTRONG, LLP

One Oxford Centre, 14th Floor Pittsburgh, PA 15219-1425

(412) 394-7789

Attorneys for Applicant

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OFFICE OF PETITIONS

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Assistant Commissioner for Patents

Washington, D.C. 2023

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SOFTWARE

NOTIFICATION OF LOSS OF SMALL ENTITY STATUS UNDER 37 C.F.R. 1,28(c)

To:

Assistant Commissioner for Patents

Washington, D.C. 20231

Dear Sir:

Although acting in good faith, Applicants for the above-identified patent application erred in claiming small entity status in December 2000. On 18 December 2000, Applicants filed the following items with the United States Patent and Trademark Office ("USPTO"):

- 1. a copy of the Notice to File Missing Parts of Nonprovisional Application;
- 2. a Declaration for Utility Patent Application, Form PTO/SB/01;
- a Statement Claiming Small Entity Status Small Business Concern, Form PTO/SB/10;
 and
- 4. a check in the amount of \$65.00 as the requisite fee under 37 C.F.R. § 1.16(e), small entity.

It has since been discovered that the small entity status was established in error. Applicants respectfully submit this Notification in compliance with 37 C.F.R. 1.27(g)(2), 37 C.F.R. 1.28(c), and MPEP 509.03 to correct the error.

Adjustment date: 04/18/2002 CNGUYEN 12/26/2000 SDENBOB1 00000034 0964886

04/18/2002 CNGUYEN 00000102 09648861

01 FC:205 -65.00 OP

01 FC:105

130.00 OP

The above-identified fee was the only fee that Applicants have paid based upon the claim for small entity status. In compliance with 37 C.F.R. 1.28(c)(2), Applicants enclose a check for \$65, which is the difference between the current fee amount (for other than a small entity) of \$130 and the amount of the previous erroneous (small entity) fee payment of \$65. The total deficiency payment owed is \$65.

Dated: U April 2002

Respectfully submitted,

Edward L. Pencoske

Reg. No. 29,688

THORP REED & ARMSTRONG, LLP

One Oxford Centre, 14th Floor

Pittsburgh, PA 15219-1425

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Attorneys for Applicant